



REPUBLIC OF THE PHILIPPINES
SECURITIES AND EXCHANGE COMMISSION

The SEC Headquarters
7907 Makati Avenue, Salcedo Village,
Barangay Bel-Air, Makati City, 1209, Metro Manila

COMPANY REG. NO. 50553

**CERTIFICATE OF FILING
OF
AMENDED ARTICLES OF INCORPORATION**

KNOW ALL PERSONS BY THESE PRESENTS:

THIS IS TO CERTIFY that the Amended Articles of Incorporation of the

**ALSONS INSURANCE AND REINSURANCE
BROKERS CORPORATION**

(Amending Article II Primary Purpose thereof)

copy annexed, adopted on August 10, 2023 by majority vote of the Board of Directors and by the vote of the stockholders owning or representing at least two-thirds of the outstanding capital stock, and certified under oath by the Corporate Secretary and a majority of the Board of Directors of the corporation was approved by the Commission on this date pursuant to the provision of Section 15 of the Revised Corporation Code of the Philippines, Republic Act No. 11232, which took effect on February 23, 2019, and copies thereof are filed with the Commission.

Unless this corporation obtains or already has obtained the appropriate Secondary License from this Commission, this Certificate does not authorize it to undertake business activities requiring a Secondary License from this Commission such as, but not limited to acting as: broker or dealer in securities, government securities eligible dealer (GSED), investment adviser of an investment company, close-end or open-end investment company, investment house, transfer agent, commodity/financial futures exchange/broker/merchant, financing/lending company and time shares/club shares/membership certificates issuers or selling agents thereof; nor to operate a fiat money to virtual currency exchange. Neither does this Certificate constitute as permit to undertake activities for which other government agencies require a license or permit.

IN WITNESS WHEREOF, I have set my hand and caused the seal of this Commission to be affixed to this Certificate at The SEC Headquarters, 7907 Makati Avenue, Salcedo Village, Barangay Bel-Air, Makati City, 1209, Metro Manila, Philippines, this 15th day of February, Twenty Twenty Four.


DANIEL P. GABUYO
Assistant Director
SO Order 1188 Series of 2018

STA/bds

COVER SHEET
for Applications at
COMPANY REGISTRATION AND MONITORING DEPARTMENT

Nature of Application

SEC Registration Number

AOI AMENDMENT – Article SECOND

50553

Former Company Name

ALSONS INSURANCE BROKERS CORPORATION

AMENDED TO:
New Company Name

ALSONS INSURANCE AND REINSURANCE BROKERS CORPORATION

Principal Office (No./Street/Barangay/City/Town)Province)

ALSONS BLDG. 2286 CHINO ROCES AVENUE, MAKATI CITY, 1231

Company Email Address

COMPANY INFORMATION
Company's Telephone Number/s

Mobile Number

CONTACT PERSON INFORMATION

The designated person MUST be a Director/Trustee/Partner/Officer/Resident Agent of the Corporation

Name of Contact Person

Email Address

Telephone Number/s

Mobile Number

JONATHAN F. JIMENEZ /
Laura D. Tumala

legal@alcantaragroup.com
ldtumala@alcantaragroup.com

8982-3000

09178731671

Contact Person's Address

Alsons Building, 2286 Chino Roces Avenue, Makati City, 1231

To be accomplished by CRMD Personnel

Date

Signature

Assigned Processor

Document I.D.

Received by Corporate Filing and Records Division (CFRD)

Forwarded to:

<input type="checkbox"/>	Corporate and Partnership Registration Division
<input type="checkbox"/>	Green Lane Unit
<input type="checkbox"/>	Financial Analysis and Audit Division
<input type="checkbox"/>	Licensing Unit
<input checked="" type="checkbox"/>	Compliance Monitoring Division

DIRECTORS' CERTIFICATION
RELATIVE TO THE AMENDMENT OF THE
ARTICLES OF INCORPORATION
OF

ALSONS INSURANCE AND REINSURANCE BROKERS CORPORATION

Formerly: ALSONS INSURANCE BROKERS CORPORATION

(As amended, April 15, 2021)



KNOW ALL MEN BY THESE PRESENTS:

We, the undersigned, being the Chairman of the Board, the Corporate Secretary, and a majority of the members of the Board of Directors of **ALSONS INSURANCE AND REINSURANCE BROKERS CORPORATION** (the "Corporation"), do hereby certify that at the Special Meeting of the Stockholders and Board of Directors of the Corporation, both held on 10 August 2023, at the principal office of the corporation, by resolution unanimously adopted and confirmed by the stockholders of the Corporation representing at least two-thirds (2/3) of the outstanding capital stock and the majority of the Board of Directors, the Articles of Incorporation were duly amended as follows:

STOCKHOLDERS' RESOLUTION

"RESOLVED, as it is hereby resolved, that the Stockholders approve the recommendation made by the Board of Directors and Management to amend the Articles of Incorporation to include the Health Maintenance Organization (HMO) broking business in its Primary Purpose under Article SECOND;

"RESOLVED FURTHER, that the Primary Purpose under Article SECOND of the Corporation's Articles of Incorporation will be amended under paragraph a), so that it shall now read as follows:

PRIMARY PURPOSE

- a) To act as insurance and reinsurance brokers of life and non-life insurance companies, **and as brokers of prepaid group practice health care delivery system or health maintenance organization (HMO) companies** and such, engage in the solicitation, negotiation, or procurement of any insurance contract, place risk or take out insurance, **or HMO contract or health care agreement**; and, generally, engage in the business of insurance, reinsurance and guaranty in any and all branches to the extent permitted by law, for a consideration or any compensation, commission or other thing of value. (As amended, August 10, 2023)

X-X-X

ALSONS INSURANCE AND REINSURANCE BROKERS CORPORATION

Directors' Certification Relative to the Amendment of the Articles of Incorporation

August 10, 2023

Page 1 of 2

That the attached is a true and correct copy of the Articles of Incorporation as amended.


IN WITNESS WHEREOF, we, the undersigned Directors of said corporation have hereunto set our hand this 15 SEP 2023 at PARAÑAQUE CITY, Metro Manila, Philippines.

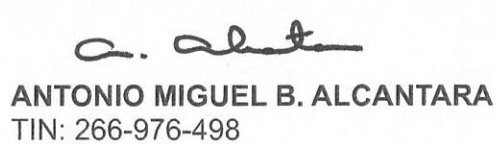

TOMAS I. ALCANTARA
TIN: 105-252-550


EDITHA I. ALCANTARA
TIN: 101-533-701


ROSVIDA A. DOMINGUEZ
TIN: 131-352-458

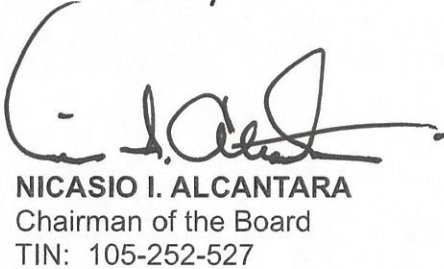

ALEJANDRO I. ALCANTARA
TIN: 105-252-501


RAMON T. DIOKNO
TIN: 117-434-705


ANTONIO MIGUEL B. ALCANTARA
TIN: 266-976-498


GABRIEL H. ALCANTARA
TIN: 197-612-799

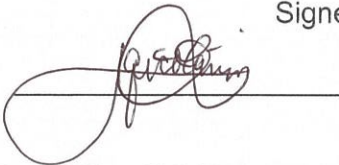

RAMIL L. VILLANUEVA
TIN: 146-391-829

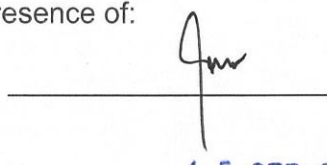

NICASIO I. ALCANTARA
Chairman of the Board
TIN: 105-252-527

Attest


JONATHAN F. JIMENEZ
Corporate Secretary
TIN: 154-892-623

Signed in the presence of:






SUBSCRIBED AND SWORN to before me, this 15 SEP 2023 at PARAÑAQUE CITY, affiants exhibited to me their Tax Identification Numbers (TIN) with numbers, place and dates of issue indicated below their signatures.

Doc. No. 120
Page No. 25
Book No. 14
Series of 2023
LDT/airbc/AAIO-102-dc2023



Notary Public

ATTY. VILMA HILDA VILLANUEVA-FABELLA
NOTARY PUBLIC
Until December 31, 2024
IBP No. 272742/1-05-2023/PPLM
PTR No. 3190126/1-09-2023/Parañaque
Roll No. 41901
Not. Com. No. 119-2023/1-09-2023

AMENDED
ARTICLES OF INCORPORATION
OF

ALSONS INSURANCE AND REINSURANCE BROKERS CORPORATION

(Formerly: ALSONS INSURANCE BROKERS CORPORATION)

(As amended, April 15, 2021)

KNOW ALL MEN BY THESE PRESENTS:

That we, all of whom are of legal age and residents of the Philippines, have this day voluntarily associated ourselves together for the purpose of forming a corporation under the laws of the Republic of the Philippines, and we hereby certify:

FIRST

That the name of the said corporation shall be:

ALSONS INSURANCE AND REINSURANCE BROKERS CORPORATION

(As amended, April 15, 2021)

SECOND

That the purposes for which such corporation is formed are:

PRIMARY PURPOSE

a) To act as insurance and reinsurance brokers of life and non-life insurance companies, **and as brokers of prepaid group practice health care delivery system or health maintenance organization (HMO) companies** and such, engage in the solicitation, negotiation, or procurement of any insurance contract, place risk or take out insurance, **or HMO contract or health care agreement**; and, generally, engage in the business of insurance, reinsurance and guaranty in any and all branches to the extent permitted by law, for a consideration or any compensation, commission or other thing of value. (As amended, June 13, 1996; **August 10, 2023**)

b) To purchase, receive, take or grant, hold, convey, sell, lease, pledge, mortgage and otherwise deal with such real and personal property or any interest thereon, including securities and bonds of other corporations, as the transactions of the lawful business of the corporation may reasonably and necessarily require, all in accordance with law;

c) To do all such other things as are incidental or conducive to the attainment of the above objects or any of them, or which may be conveniently carried on and done in connection therewith, or which may be calculated directly or indirectly to enhance the value of or render profitable any business or property of the corporation.

d) To exercise such other powers, which may now or hereafter be permitted by law, as may be essential or necessary to carry out the purpose for which the corporation is created.

THIRD

That the place where the principal office of the corporation is to be established is at the Alsons Building, 2286 Chino Roces Avenue, Makati City 1231 Metro Manila, Philippines. (As amended, April 15, 2021)

FOURTH

That the term for which the said corporation is to exist is fifty (50) years from and after the date of incorporation.

FIFTH

That the names, nationalities and residences of the incorporators of the corporation are as follows:

<u>Name</u>	<u>Nationality</u>	<u>Residences</u>
Conrado Alcantara	Filipino	Bonifacio St., Davao City
Ladislawa I. Alcantara	Filipino	Bonifacio St., Davao City
Nicasio I. Alcantara	Filipino	San Pedro Village Davao City
Felicisimo I. Alcantara	Filipino	Bonifacio St., Davao City
Tomas I. Alcantara	Filipino	Bonifacio St., Davao City
Editha I. Alcantara	Filipino	Bonifacio St., Davao City
Rosvida I. Alcantara	Filipino	Bonifacio St., Davao City
Ricardo Escueta	Filipino	C-1 Bungalow Lanzona Subdivision Matina, Davao City

SIXTH

That the number of Directors of the corporation shall be nine (9) and that the names and residences of the Directors of the Corporation who are to serve as such until their successors are elected and qualified as provided by the By-Laws, are as follows: (As amended, April 15, 2021)

<u>Name</u>	<u>Nationality</u>	<u>Residences</u>
Conrado Alcantara	Filipino	Bonifacio St., Davao City
Nicasio I. Alcantara	Filipino	San Pedro Village Davao City
Felicisimo I. Alcantara	Filipino	Bonifacio St., Davao City
Editha I. Alcantara	Filipino	Bonifacio St., Davao City
Ricardo Escueta	Filipino	C-1 Bungalow Lanzona Subdivision Matina, Davao City

SEVENTH

That the authorized capital stock of the corporation is FIFTY MILLION (₱50,000,000.00) PESOS in lawful money of the Philippines, divided into 500,000 shares with a par value of One Hundred (₱100.00) Pesos per share. (As amended, April 30, 2002)

EIGHT

That the amount of said capital stock which has been actually subscribed is One Hundred Thousand (₱100,000.00) Pesos, and the following persons have subscribed for the number of shares and amount of capital stock set out after their respective names:

<u>Name</u>	<u>Residences</u>	<u>No. of Shares</u>	<u>Amount Subscribed</u>
Conrado Alcantara	Bonifacio Street Davao City	300	₱ 30,000.00
Ladislawa I. Alcantara	- do -	150	15,000.00
Nicasio I. Alcantara	San Pedro Village Davao City	120	12,000.00
Felicisimo I. Alcantara	Bonifacio Street Davao City	107	10,700.00
Tomas I. Alcantara	- do -	107	10,700.00
Editha I. Alcantara	- do -	107	10,700.00
Rosvida I. Alcantara	- do -	107	10,700.00
Ricardo Escueta	C-1 Bungalow Lanzona Subdivision Matina, Davao City	2	200.00
		<u>1,000</u>	<u>₱ 100,000.00</u>

NINTH

That the following persons have paid on the shares of capital stock for which they have subscribed the amounts all set out after their respective names:

<u>Name</u>	<u>Residences</u>	<u>Amount Paid</u>
Conrado Alcantara	Bonifacio Street, Davao City	₱ 11,900.00
Ladislawa I. Alcantara	- do -	5,000.00
Nicasio I. Alcantara	San Pedro Village, Davao City	2,000.00
Felicisimo I. Alcantara	Bonifacio Street, Davao City	1,500.00
Tomas I. Alcantara	- do -	1,500.00
Editha I. Alcantara	- do -	1,500.00
Rosvida I. Alcantara	- do -	1,500.00
Ricardo Escueta	C-1 Bungalow, Lanzona Subdivision, Matina, Davao City	100.00
Total -		<u>₱ 25,000.00</u>

TENTH

That EDITHA I. ALCANTARA has been elected by the subscribers as Treasurer of the Corporation to act as such until her successors is duly elected and qualified in accordance with the By-Laws, and that as such Treasurer, she has been authorized to receive for the Corporation and to receipt in its name for all subscriptions paid in by the said subscribers.

ELEVENTH

That no transfer of shares of stock or interest which will reduce the ownership of Filipino citizens to less than the required percentage of capital shall be allowed or permitted to be recorded in the books of the corporation. This restriction shall be printed in all stock certificates to be issued by the corporation.

IN WITNESS WHEREOF, we have hereunto set our hands, this 14th day of November 1972, at Davao City, Philippines.

(SGD.) **CONRADO ALCANTARA**
TAN: 1644-592-6

(SGD.) **LADISLAWA I. ALCANTARA**
TAN: 1644-592-6

(SGD.) **NICASIO I. ALCANTARA**
TAN: 1019-518-8

(SGD.) **FELICISIMO I. ALCANTARA**
TAN: 1019-300-1

(SGD.) **TOMAS I. ALCANTARA**
TAN: 1019-677-2

(SGD.) **EDITHA I. ALCANTARA**
TAN: 1019-242-5

(SGD.) **ROSVIDA I. ALCANTARA**
TAN: 2265-00-37

(SGD.) **RICARDO ESCUETA**
TAN: 1203-677-6

SIGNED IN THE PRESENCE OF:

1. (SGD.) ILLEGIBLE

2. (SGD.) ILLEGIBLE

ACKNOWLEDGMENT

REPUBLIC OF THE PHILIPPINES)
IN THE CITY OF DAVAO) S. S.

BEFORE ME, a Notary Public for and in the City of Davao, Philippines, personally appeared the following persons, exhibiting to me their respective Residence Certificates No. as follows:

Conrado Alcantara	No. A-2725151, issued on Jan. 7, 1972, at Davao City, Philippines
Ladislawa I. Alcantara	No. A-2725152, issued on Jan. 7, 1972, at Davao City, Philippines
Nicasio I. Alcantara	No. A-2725153, issued on Jan. 7, 1972, at Davao City, Philippines
Felicitimo I. Alcantara	No. A-2725154, issued on Jan. 7, 1972, at Davao City, Philippines
Tomas I. Alcantara	No. A-2725155, issued on Jan. 7, 1972, at Davao City, Philippines
Editha I. Alcantara	No. A-2725156, issued on Jan. 7, 1972, at Davao City, Philippines
Rosvida I. Alcantara	No. A-2725157, issued on Jan. 7, 1972, at Davao City, Philippines
Ricardo Escueta	No. A-2449215, issued on Jan. 19, 1972, at Davao City

all known to me and to me known to be the same persons whose names appear to be the incorporators and who executed the foregoing Articles of Incorporation, which consists of Five (5) pages, including that on which this acknowledgment is written, and all of them acknowledged to me that the same to be of their free act and deed.

IN WITNESS WHEREOF, I have hereunto set my hand this 14th day of December 1972, at the City of Davao, Philippines.

(SGD.) **CAESAR R. DULAY**
Notary Public
Until December 31, 1973
PTR No. 5141576;
January 6, 1972; Davao City

Doc. No. 252 ;
Page No. 51 ;
Book No. 1 ;
Series of 1972.

REPUBLIC OF THE PHILIPPINES)
IN THE CITY OF DAVAO) S.S.

TREASURER'S AFFIDAVIT

I, EDITHA I. ALCANTARA, of legal age, Filipino, after having been duly sworn in accordance with law, depose and say:

That on the 14th day of December, 1972, at the City of Davao, Philippines, I was duly elected by the subscribers named in the foregoing Articles of Incorporation of the C. Alcantara Insurance Agencies Group, Inc., as Treasurer, to act as Treasurer until my successor has been duly elected and qualified in accordance with the By-Laws of the Corporation; that as such Treasurer, I have been duly authorized by the subscribers to receive for the Corporation all subscriptions paid in by them for the capital stock.

That of the total number of authorized capital, One Thousand (1,000) Shares of stock worth ONE HUNDRED THOUSAND (P100,000.00) PESOS have been actually subscribed, and that of said subscription, TWENTY FIVE THOUSAND (P25,000.00) PESOS, Philippine Currency, in Cash, has been actually transferred to me in trust and received by me for the benefit and to the credit of the Corporation, and that at least Twenty (20%) per centum of the entire number of authorized shares of capital stock has been subscribed and at least Twenty Five (25%) per centum of the subscription has been actually paid to me in cash and received by me for the benefit and to the credit of the C. Insurance Agencies Group, Inc.

IN WITNESS WHEREOF, I have hereunto set my hand to this instrument this 16th day of December 1972, at the City of Davao, Philippines.

(SGD.) **EDITHA I. ALCANTARA**
Treasurer

SUBSCRIBED AND SWORN to before me this 18th day of December, 1972, at the City of Davao, Philippines, affiant exhibiting to me her Res. Cert. No. A-2725156, issued at Davao City on January 7, 1972.

(SGD.) **CONCORDIO Y. BAGUIO**
Notary Public
Until December 31, 1973
PTR No. 5141567, Jan. 6, 1972
Davao City

Doc. No. 438 ;
Page No. 88 ;
Book No. I ;
Series of 1972.
royfaibc/102-a01



19 May 2023

MR. NICASIO I. ALCANTARA

President

Alsons Insurance and Reinsurance Brokers Corporation

Ground Floor, Alsons Building

2286 Chino Roses Extension

Makati City

ATTENTION: MS. CHENY RABANAL

Human Resource and Administrative Officer

aibo-mktg@alcantaragroup.com

**SUBJECT: Application for HMO Broker's License of Alsons
Insurance and Reinsurance Brokers Corporation**

Dear Mr. Alcantara:

This refers to your company's application for HMO Broker's License dated 29 December 2022 with the application of **Ms. Eva P. Mercado** as Soliciting Official

Please be advised that the application of Ms. Mercado to act as **Soliciting Official** of your company for HMO broking business has been approved

With regard to the application for license, we note that the conduct of HMO broking business is not indicated in the purpose as stated in the submitted Articles of Incorporation (AOI).

In this regard, you are hereby advised to submit a Certificate of Filing of Amended AOI with a copy of amended AOI of your company indicating the business of HMO broking business as one of its purposes.

Please be advised that the application for license will be processed upon submission of the above-mentioned documents and a copy of your company's surety bond for HMO business in the amount of not less than Php1,000,000.00¹.

For your compliance.

Very truly yours,

ATTY. JOANNE FRANCES DC. CASTRO

Division Manager

Licensing Division

Section 5 of IC Circular Letter No. 2023-02

RECEIVED

By: [Signature] Date: 7/18/2023



**ALSONS INSURANCE AND REINSURANCE
BROKERS CORPORATION**

Makati : G/F Alsons Bldg., 2286 Pasong Tamo Extn, Makati City

Davao : Suite A302 Plaza de Luisa Complex, R.Magsaysay Ave., Davao City

July 13, 2023

Atty. Jonathan F. Jimenez

Conal Corporation

3/F Alsons Building, 2286 Pasong Tamo Extension, Makati City

Dear JFJ:

The Insurance Commission per its letter dated May 19, 2023 directed AIRBC to submit the Amended AOI to include the HMO broking business as one of the Company's purposes.

Hence, we would like to seek your assistance to AIRBC to comply with the IC's directive.

Attached is a copy of the IC letter for your reference.

Thank you,

[Signature]
Cornelia P. Saldivar
Finance & Admin Manager

[Signature]
Marco Angelo C. Alcantara
General Manager

Republic of the Philippines }
City of PARANAQUE CITY }

SECRETARY'S CERTIFICATE

KNOW ALL MEN BY THESE PRESENTS:

I, **JONATHAN F. JIMENEZ**, Filipino, of legal age, with office address at the 3rd Floor, Alsons Building, 2286 Chino Roces Avenue, Makati City 1232 Metro Manila, after having been sworn in accordance with law, do hereby certify that:

1. I am the duly elected and incumbent Corporate Secretary of **ALSONS INSURANCE AND REINSURANCE BROKERS CORPORATION**, (the Corporation), a Philippine corporation with office at the Alsons Building, 2286 Chino Roces Avenue, Makati City, Philippines;
2. To the best of my knowledge, no action or proceeding has been filed or is pending before any Court involving an intra-corporate dispute and/or claim by any person or group against the Board of Directors, individual directors and/or major corporate officers of the Corporation as its duly elected and/or appointed directors or officers or vice versa.
3. This certification is being issued for whatever purpose it may serve.

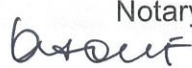
IN WITNESS WHEREOF, I have hereunto affixed my signature on this
15 SEP 2023 at PARANAQUE CITY.


JONATHAN F. JIMENEZ
Corporate Secretary

SUBSCRIBED AND SWORN to before me, a Notary Public for and in the City of PARANAQUE CITY, Philippines, this 15 SEP 2023, affiant, whose identity I have confirmed through his Tax Identification Number (TIN) 154-892-623

Doc. No. 119;
Page No. 25;
Book No. 14;
Series of 2023.



Notary Public

ATTY. VILMA HILDA VILLANUEVA-FABELLA
NOTARY PUBLIC
Until December 31, 2024
IBP No. 272742/1-05-2023/PPLM
PTR No. 3190126/1-09-2023/Paranaque
Roll No. 41901
Not. Com. No. 119-2023/1-09-2023



SEC Main Office
The SEC Headquarters
7907 Makati Avenue, Salcedo Village, Barangay Bel-Air, Makati City , 1209

electronic Official Receipt

Transaction Details

eOR Number	20240207-PM-0018009-79
Transaction Number	356178687931
Payment Date	February 07, 2024 02:34 PM
Payment Scheme	maya-wallet
Status	COMPLETED
Payment Status	PAYMENT_SUCCESS

Payment Assessment Details

PAF No.	20240131-10066188
PAF Date	2024-01-31 08:26:50
Payor Name	ALSONS INSURANCE AND REINSURANCE BROKERS CORPORATION
Payor Address	MAKATI CITY

#	Nature of Collection	Account Code	Amount
1	Amended Articles of Incorporation	4020102000(606)	1,000.00
2	Documentary Stamp Tax	4010401000(4010401)	30.00
3	Legal Research Fee (A0823)	2020105000(131)	10.00
TOTAL			1,040.00

Total amount indicated herein does not include the convenience/service fee of the selected payment channel.



Machine Validation:

VALID UNTIL: MARCH 16, 2024



Republic of the Philippines
DEPARTMENT OF FINANCE
SECURITIES AND EXCHANGE COMMISSION
The SEC Headquarters
7907 Makati Avenue, Salcedo Village,
Barangay Bel-Air, Makati City, 1209



PAYMENT ASSESSMENT FORM

No. 20240131-10066188

DATE 01/31/2024	RESPONSIBILITY CENTER CRMD
PAYOR: ALSONS INSURANCE AND REINSURANCE BROKERS CORPORATION MAKATI CITY	

NATURE OF COLLECTION	QUANTITY	ACCOUNT CODE	AMOUNT
Amended Articles of Incorporation		4020102000 (606)	1,000.00
Legal Research Fee (A0823)		2020105000 (131)	10.00
Documentary Stamp Tax	1	4010401000 (4010401)	30.00
----NOTHING FOLLOWS----			
TOTAL AMOUNT TO BE PAID			Php 1,040.00

Assessed by: mrstavelino	Amount in words: ONE THOUSAND FORTY PESOS AND 00/100
Remarks:	

PAYMENT OPTIONS

- Online payment thru eSPAYSEC at
• <https://espaysec.sec.gov.ph>
- Over the Counter Payments at any LandBank branch nationwide

BREAKDOWN SUMMARY

FUND ACCOUNT	AMOUNT	ACCOUNT #
SEC RCC Current Account	1,000.00	3752-2220-44
SEC BIR - DST	30.00	3752-2220-60
SEC BTR Account - LRF	10.00	3402-2319-20
TOTAL	Php 1,040.00	

NOTES:

A. The Payment Assessment Form (PAF) is valid until MARCH 16, 2024.

B. Accepted modes of payment at Landbank branches:

- Cash
- Manager's/Cashier's Check payable to the Securities and Exchange Commission

C. For check payment, please prepare separate Manager's checks per fund account as indicated on the breakdown summary.

D. For over the counter payment at LandBank:

- Print 2 copies of PAF, 1 Client Copy, 1 LandBank copy
- Accomplish the onColl Payment slip per fund account as indicated on the breakdown summary.
Use the correct Fund Account and Account No. and provide the below information:
 - Reference Number 1 - PAF No.
 - Reference Number 2 - Name of Payor appearing on the PAF
- Present OnColl Payment Slip, together with the PAF, to the LandBank Teller

E. You may generate the electronic official receipt (eOR) by visiting <https://espaysec.sec.gov.ph/eor>

- Payment thru ESPAYSEC – eOR available upon payment
- LandBank OTC - eOR available within two (2) business days after the payment

F. ANY ALTERATIONS WILL INVALIDATE THIS FORM



Republic of the Philippines
Department of Finance
Securities and Exchange Commission

Company Registration and Monitoring Department
Compliance Monitoring Division

MONITORING SHEET / NO PENALTY

Corporate Name	ALSONS INSURANCE AND REINSURANCE BROKERS CORPORATION		
Registration No.	50553	Date of Registration	MARCH 19,1973
Term of Existence	50 YEARS	Annual Meeting	ANY DAY IN APRIL
Principal Office	ALSONS BLDG., 2286 CHINO ROCES AVENUE, MAKATI CITY 1231	Actual Meeting	APRIL 20, 2023
No. of Directors/Trustees	9	Fiscal Year	DECEMBER 31

<input checked="" type="checkbox"/> STOCK CORPORATION	<input type="checkbox"/> NON-STOCK CORPORATION
Authorized Capital P 50,000,000	Equity/Fund Balance P
Retained Earnings P 13,387,500	Negative Fund Balance P
Deficit P	Fiscal Year ended
Capital Deficiency P	Fine: P per year, per report
Fiscal Year ended DECEMBER 31, 2022	
Fine: P 10,000 per year, per report	

COMPUTATION OF FINES				
YEAR	General Information Sheet		Financial Statements	
2018				
2019		MONITORED		MONITORED
2020		07/26/2021		07/26/2021
2021		OT		
2022	OT		OT	
2023	OT			
Stock and Transfer Book / Membership Book MONITORED				
Memorandum Circular No. 28 series of 2020 (Submission of Official and Alternate Email Addresses and Mobile Numbers) 02.22.2021 OT				

OT - On Time
NF - Not Filed
FL/RL - Filed/Registered Late

Monitored by:	L.P.DELICA	DATE: 10/11/2023 RETURN: 10/17/2023
---------------	------------	---

☒ Compliant with all reportorial requirements and cleared per CIS-URDB as of 17 OCTOBER 2023.

The finding/ assessment is based on the documents/reports available in the SEC database and the documents presented by the party to the monitor as of: _____

Representative
Signature over Printed Name

For the Director: GERARDO F. DEL ROSARIO

By: LEONA PEARL L. DELICA
SEC Monitoring Specialist I

Note:

This assessment does not constitute as waiver of any fine or penalty for deficiencies in reportorial requirements due from, or may hereafter be assessed against, the corporation nor does it preclude the institution of any action against the corporation for violation of any of the provisions of the Corporation Code of the Philippines, the Securities Regulation Code, and its implementing rules and regulations, and other pertinent laws, rules and regulations implemented by the Commission.

In case the corporation is subject of a complaint or investigation by the Commission or any party, the computation of penalty may be years, earlier than the five (5) years and imposition of fine shall be made if warranted.

This Monitoring Sheet is good for updating until the first working day of January of the following year or until the next annual reports are submitted which is not covered by the assessment. Further SEC is not estopped from assessing the corporation additional penalties, fees charges should it turn out that the previous assessment is erroneous.



**COMPANY REGISTRATION AND MONITORING DEPARTMENT
CHECKLIST OF REQUIREMENTS
FOR AMENDMENT OF ARTICLES OF INCORPORATION/BY-LAWS**

Name of Corporation:	ALSONS INSURANCE AND REINSURANCE BROKERS CORPORATION			
Date Assigned:	9.11.2023			
Date of Registration	3.19.1973			
Term of Existence:	50 years			
REQUIREMENTS	DATE: 1.17.2024/1.31.2024 1ST)Remarks (If requirement not complied with or subject of correction	DATE: Remarks (If requirement not complied with or subject of correction	DATE: Remarks (If requirement not complied with or subject of correction	DATE: Remarks (If requirement not complied with or subject of correction
1. Cover Sheet	C			
2. Directors'/Trustees' Certificate	C			
a. Signed by majority of the directors/trustees and corporate secretary				
b. Proposed amendments indicated				
c. Required votes of the directors/trustees and the stockholders/members				
d. Date and place of the stockholders'/members' meeting				
3. Amended Articles of Incorporation	C			
a. Amended Provision/s				
b. Others (please specify):				
4. Amended By-laws	N/A			
a. Amended Provision/s				
b. Others (please specify):				
5. Monitoring Clearance	W/ NO PENALTY DTD 10.17.2023			
6. Secretary's Certificate certifying that no intra-corporate case/action has been filed or is pending before any court or tribunal, against or among the directors, officers, or stockholders of the corporation	C			
ADDITIONAL REQUIREMENTS:				
1. Endorsement/clearance from other government agencies, if applicable	W/ IC ENDORSEMENT			
2. If the provision to be amended is the corporate name:	N/A			
a. Name Verification Slip				
b. Affidavit of director, trustee or officer undertaking to change corporate name (not required if the Articles of Incorporation has a provision on this commitment)	N/A			
3. Others (please specify):				
AMENDED PROVISIONS:				
ARTICLES OF INCORPORATION	II			
BY-LAWS	N/A			
DATE OF MEETING:	8.10.2023			
Filing Fee:	P1,040.00			
Remarks:	Ok for payment			
Signature of Authorized Representative:				


MAX RHA SOLIN AVELINO
SECURITIES SPECIALIST I

C-Complied; NC-Not Complied; N/A- Not applicable

Note: This Checklist must be submitted to form part of the application documents.